

## 612 Midterm 2 review

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Integral formular

- Gaussian integral

$$\int e^{-ax^2} dx = \sqrt{\frac{\pi}{a}}$$

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$$\int \frac{k^2 dk}{\exp(ak^2) - 1} = \frac{\sqrt{\pi}}{2a^{3/2}} \cdot \zeta\left(\frac{3}{2}\right)$$

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### Quantum Mechanics of identical particles

- Hilbert space of a two particle system is generated by tensor product of 1-particle states

$$|a, b\rangle = |a\rangle_1 \otimes |b\rangle_2$$

here  $|a\rangle$  and  $|b\rangle$  include space coordinates and other inner d.o.f like spin.

- $P_{ij}$  is the operator of swapping  $i^{th}$  and  $j^{th}$  particle in the state, then in QM of identical particles, we have  $P_{ij}|\psi\rangle = e^{i\phi}|\psi\rangle$ , which means the same state up to a possible phase.
- In 1-D or 3-D space,  $\phi = 0$  or  $\pi$ . Fermions have  $P_{ij}|\psi\rangle = -|\psi\rangle$  and Bosons have  $P_{ij}|\psi\rangle = +|\psi\rangle$ .
- In 2-D, this is possible "anyons"(Wilczek). 2-D is special because there are two ways to swap two identical particles: rotate in clockwise direction or rotate in counterclockwise direction, which are not distinguishable in 1-D or 3-D space.
- Spin and statistics theorem: Unitary and causality in QFT requires
  - All particles with integer spins are bosons
  - All particles with half-integer spins are fermions
  - Even without interactions, statistics of identical particles can have dramatic effects like bosons like to get together while fermions hate
- Slator determinant: describe anti-symmetric many body states in terms of single particle levels

$$\text{– For two particles, } |\psi\rangle = \frac{1}{\sqrt{2}} (|a\rangle^1 \otimes |b\rangle^2 - |b\rangle^1 \otimes |a\rangle^2)$$

$$\text{– For } n \text{ particles, } |\psi\rangle = \frac{1}{\sqrt{N!}} \begin{vmatrix} |a\rangle^1 & |b\rangle^1 & \dots & |N\rangle^1 \\ |a\rangle^2 & |b\rangle^2 & & |N\rangle^2 \\ \dots & \dots & & \dots \\ |a\rangle^N & |b\rangle^N & \dots & |N\rangle^N \end{vmatrix}$$

### Degeneracy Pressure

- Suppose you have a rectangular box sides of length  $L_x, L_y, L_z$  with the periodic boundary condition

- Non-interacting particle with  $H = \frac{1}{2m} (\vec{p}_x^2 + \vec{p}_y^2 + \vec{p}_z^2)$
- Because of the B.C.,  $\psi(x, y, z) = \psi(x + L_x, y, z) = \psi(x, y + L_y, z) = \psi(x, y, z + L_z)$ , so  

$$\psi = \eta \cdot \exp(i(k_x x + k_y y + k_z z))$$
- The number of particles with  $E < E_0$  is

$$N(E_0) = d \sum_{n_x, n_y, n_z} \theta \left( E_0 - \frac{1}{2m} \left( \left( \frac{2\pi}{L_x} n_x \right)^2 + \left( \frac{2\pi}{L_y} n_y \right)^2 + \left( \frac{2\pi}{L_z} n_z \right)^2 \right) \right)$$

where  $d$  is the spin degeneracy. With  $\Delta n_x = \frac{L_x}{2\pi} \Delta k_x$ , we have

$$\begin{aligned} N(E_0) &\approx dL^3 \int \frac{dk_x}{2\pi} \cdot \frac{dk_x}{2\pi} \cdot \frac{dk_x}{2\pi} \cdot \theta \left( E_0 - \frac{|\vec{k}|^2}{2m} \right) \\ &= dV_0 \int \frac{d^3 \vec{k}}{(2\pi)^3} \theta \left( E_0 - \frac{|\vec{k}|^2}{2m} \right) \\ &= dV_0 \int_0^{k_{max}} \frac{dk}{2\pi^2} k^2 = dV_0 \frac{k_{max}^3}{6\pi^2} \quad [k_{max} = \sqrt{2mE_0}] \end{aligned}$$

- Fill all energy levels up to  $E_F$

$$- N(E_F) = dV_0 \frac{k_F^3}{6\pi^2} = nV_0, \text{ in which } n = \frac{k_F^3 d}{6\pi^2}, \text{ so } k_F^2 = \left( \frac{6\pi^2 N}{dV_0} \right)^{2/3}.$$

$$- \langle E \rangle = dV_0 \int_0^{k_F} \frac{dk}{2\pi^2} k^2 \cdot \frac{k^2}{2m} = \frac{N}{2m} \cdot \frac{\int_0^{k_F} k^4 dk}{\int_0^{k_F} k^2 dk} = \frac{3}{5} N E_F, \text{ in which } E_F = \frac{k_F^2}{2m}.$$

$$- \langle E \rangle = \frac{3}{5} N \cdot \frac{1}{2m} \cdot \left( \frac{6\pi^2 N}{dV_0} \right)^{2/3}, \text{ so the pressure is}$$

$$P = - \left. \frac{\partial E}{\partial V} \right|_N = \frac{2}{5} N \cdot \frac{1}{2m} \cdot \left( \frac{6\pi^2 N}{d} \right)^{2/3} V^{-5/3} = \frac{2}{5} \frac{N}{V} E_F$$

**Von Neumann Entropy:**  $S = -Tr[\hat{\rho} \log \hat{\rho}]$ , where  $\hat{\rho}$  is density matrix.

- Pure state has  $S = 0$ .
- If the system is constructed by 2 uncorrelated systems, then  $S = S_1 + S_2$ .

Find the density matrix so that the entropy maximized with fixed energy.

- With Lagrange multiplier,

$$\delta [ Tr[\hat{\rho} \log \hat{\rho}] + \beta Tr[\hat{\rho} H] - \alpha Tr[\hat{\rho}] ] = 0$$

- We got  $\hat{\rho}_0 = Z^{-1} e^{-\beta \hat{H}}$ , where  $z$  is a constant, to preserve  $Tr[\hat{\rho}] = 1$ , we have  $Z = Tr[e^{-\beta \hat{H}}]$ .

- $\beta = \frac{1}{k_B T} = \frac{1}{T}$  and  $Z$  is the partition function.
- $\hat{\rho}_{eq}(\beta) = \frac{\exp(-\beta\hat{H})}{Tr[\exp(-\beta\hat{H})]}$  is called canonical thermal distribution or Boltzmann distribution.

With this distribution,

$$\langle E \rangle = \frac{Tr[H \exp(-\beta H)]}{Tr[\exp(-\beta H)]} = -\frac{\partial}{\partial \beta}(\log(Z))$$

$$S_{max} = -Tr[\rho_{eq} \log(\rho_{eq})] = -Tr[\rho_{eq}(-\beta H - \log Z)] = \beta E + \log Z$$

$$\frac{\partial S}{\partial E} = \beta$$

Define  $Z = \exp(-\beta F)$ , then we have  $S = \beta(E - F)$  and  $F = E - TS$ .

If all  $N$  states within  $[E, E + \Delta E]$  have the same probability, we have the entropy

$$S = -Tr\left[\frac{1}{N} \log\left(\frac{1}{N}\right)\right] = \log(N)$$

### Thermodynamics collection

Most important one is  $dU = TdS - PdV$

$$F = U - TS$$

$$H = U + PV$$

$$G = H - TS = U + PV - TS$$

$$\Phi_G = F - \mu N$$

From the partition function

$$U = -\frac{\partial(\log Z)}{\partial \beta}$$

$$F = -T \log Z$$

$$\Phi_G = -T \log Z_G$$

$$U - \mu N = -\frac{\partial(\log Z_G)}{\partial \beta}$$

$$N_i = T \frac{\partial \log Z_i}{\partial \mu}$$

Three kinds of ensembles:

- Micro canonical ensemble: fix  $N, V, E$
- Canonical ensemble: fix  $N, V, T$
- Grand canonical ensemble: fix  $\mu, V, T$

At thermal limit  $N \rightarrow \infty, V \rightarrow \infty, \frac{N}{V}$  fixed, three kinds of ensembles can get the same result.

### Ideal gas: classical

- No interaction
- Classical means  $k \sim \sqrt{mT}$  and  $L \gg \lambda \sim \frac{1}{k}$ , so  $\sqrt{mT} \cdot L \gg 1$ .
- Canonical partition function

$$Z = \frac{1}{h^3} \int d^3q d^3p \exp\left(-\beta \frac{p^2}{2m}\right) = \frac{V}{h^3} (2\pi mT)^{3/2}$$
$$Z_N = Z^N = \frac{V^N}{h^{3N}} (2\pi mT)^{3N/2}, \text{ [N distinguishable particles]}$$

$$Z_N = \frac{Z^N}{N!}, \text{ [N indistinguishable particles]}$$
$$\log N! \approx N \log N - N$$

- Inner energy

$$U = -\frac{\partial(\log Z)}{\partial \beta} = \frac{3}{2} NT$$

- Maxwell velocity distribution

$$\rho(v) = 4\pi \left(\frac{m}{2\pi T}\right)^{3/2} v^2 \cdot \exp\left(-\frac{mv^2}{2T}\right)$$

- S-T equation

$$f = -\frac{T}{V} \log Z = nT \left( \log \left( \frac{nh^3}{(2\pi mT)^{3/2}} \right) - 1 \right)$$
$$s = \frac{u - f}{T} = n \left( \frac{5}{2} - \log \left( \frac{nh^3}{(2\pi mT)^{3/2}} \right) \right)$$

- Equipartition Theorem
  - For each d.o.f. with only quadratic term of  $p$  or  $q$ , contributes equal energy

- Gibbs paradox

- solution: make particles indistinguishable, so  $Z_N = \frac{Z^N}{N!}$

### Ideal gas: Bose

- No interaction, assume  $\mu$  is general for all modes
- Grand partition function

$$Z_i = \text{Tr}_i[\exp(-\beta(H_i - \mu N_i))] = \sum_{N_i} \exp(-\beta(\epsilon_i - \mu)N_i) = \frac{1}{1 - e^{-\beta(\epsilon_i - \mu)}}$$
$$Z_G = \exp\left(\sum_i \log(Z_i)\right)$$

- Particle number

$$\langle N_i \rangle = \sum_i N_i \rho_i = \frac{\sum_i N_i \exp(-\beta(\epsilon_i - \mu)N_i)}{1 - \exp(-\beta(\epsilon_i - \mu))} = \frac{1}{\beta} \frac{\partial \log Z_i}{\partial \mu} = \frac{1}{\exp(\beta(\epsilon_i - \mu)) - 1}$$

$$n = \frac{\langle N \rangle}{V} = \int \frac{dk}{2\pi^2} k^2 \cdot \frac{1}{\exp\left(\beta\left(\frac{k^2}{2m} - \mu\right)\right) - 1}$$

$$\langle N_i \rangle = \frac{1}{\beta} \frac{\partial \log Z_i}{\partial \mu} = \frac{1}{\exp(\beta(\epsilon_i - \mu)) - 1}$$

with the expression of  $\langle N_i \rangle \geq 0$  when  $\epsilon_i = 0$ , we have  $\mu < 0$  for all Bose gas.

- B-E condensate

- Fixed  $T$ :  $n_{critical} = n_{max}$

- Fixed  $n$ :  $T_{critical} = \frac{2\pi}{m} \cdot \left(\frac{n}{\zeta\left(\frac{3}{2}\right)}\right)^{2/3}$

$$n_{max} = \int \frac{dk}{2\pi^2} k^2 \cdot \frac{1}{\exp\left(\frac{k^2}{2mT}\right) - 1} = \left(\frac{mT}{2\pi}\right)^{3/2} \cdot \zeta\left(\frac{3}{2}\right), \text{ except for g. s.}$$

- Classical limit

- $T \rightarrow +\infty$  and  $\beta\mu \rightarrow -\infty$

- $\langle N_i \rangle \approx \exp(-\beta(\epsilon_i - \mu)) \ll 1$

- $n \approx \int \frac{dk}{2\pi^2} k^2 \cdot \exp(-\beta(\epsilon_i - \mu)) = \exp(\beta\mu) \cdot 2 \left(\frac{mT}{2\pi}\right)^{3/2}$

- $u \approx \int \frac{dk}{2\pi^2} k^2 \cdot \frac{k^2}{2m} \cdot \exp(-\beta(\epsilon_i - \mu)) = -\frac{\partial n}{\partial \beta} + \mu n = \frac{3}{2}nT$

### Ideal gas: photon

- No chemical potential,  $\mu = 0$
- 2 polarization directions
- Dispersion relation,  $\epsilon = |k|$
- For one mode

$$Z_i = \sum_{N_i} \exp(-\beta\epsilon_i N_i) = \frac{1}{1 - \exp(-\beta\epsilon_i)}$$

$$\langle N_i \rangle = \frac{1}{\exp(\beta|k_i|) - 1}$$

- Inner energy

$$u(T) = \frac{U}{V} = 2 \int \frac{dk}{2\pi^2} k^2 \cdot \frac{k}{\exp(\beta k) - 1} = \frac{\pi^2}{15} T^4$$

$$u(\omega, T) = \frac{\omega^3}{\pi^2(\exp(\beta\omega) - 1)}$$

- Classical limit:  $T \rightarrow \infty$  corresponding to  $\omega$

$$u(\omega, T) \approx \frac{\omega^3}{\pi^2\beta\omega} = \frac{\omega^2}{\pi^2\beta}$$

$$u(T) = \int_0^\infty d\omega u(\omega, T) = \infty$$

but here  $\omega$  is the integral variable and  $T$  is fixed, so the classical limit is not satisfied when  $\omega \rightarrow \infty$ .

### Phonons

- Each of modes( $\omega_i$ ) is basically a harmonic oscillator
- For one mode

$$Z_i = \sum_n \exp\left(-\beta\omega_i\left(n + \frac{1}{2}\right)\right) = \exp\left(-\frac{\beta\omega_i}{2}\right) \frac{1}{1 - \exp(-\beta\omega_i)}$$

$$\langle E_i \rangle = \frac{-\partial \log Z_i}{\partial \beta} = \frac{\omega_i}{2} + \frac{\omega_i}{\exp(\beta\omega_i) - 1}; \quad u(\omega, T) = \frac{\omega^3}{2|v_s|^2 \pi^2 (\exp(\beta\omega_i) - 1)}$$

### Ideal gas: Fermi

- For one mode (include spin)

$$Z_i = \text{Tr}_i[\exp(-\beta(H_i - \mu N_i))] = 1 + \exp(-\beta(\epsilon_i - \mu))$$

$$\langle N_i \rangle = \frac{1}{\beta} \frac{\partial \log Z_i}{\partial \mu} = \frac{1}{\exp(\beta(\epsilon_i - \mu)) + 1}$$

